



5C CAPITAL MANAGEMENT, LLC

**PLAN WITH CARE.
LIVE WITH CONFIDENCE.**

New Jersey Office:

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Solutions to move you forward. Strategies built to adapt.

The focus of our cumulative experience is to establish goals and implement strategies supporting current financial stability, the achievement of individual and family long-term financial growth, meeting future health care obligations and legacy planning to accommodate future generations.

These complex situations demand thorough analysis and meticulous consideration. A financial advisor should provide the benefit of clarity: clear and understandable guidance accompanied by the implementation of useful solutions.

This is how 5C Capital Management, LLC serves its clients. We delve into your unique situation and develop a sound, flexible financial plan that blends the following:



COMMUNICATION

We listen attentively, share ideas clearly, operate transparently and maintain connectivity.



CREATIVITY

Every client has a unique set of circumstances and goals. Our experience and common sense approach results in solutions that are customized for each client's circumstance.



COLLABORATION

Key to a strong client-advisor relationship is the willingness to work together. We seek to collaborate with you and other professionals that comprise your advisory team, in order to ensure that your concerns are addressed as they arise and your strategy adapts to changes in circumstance warranting such adjustment.



COMMUNITY

This cornerstone of our approach has a dual meaning. It refers to 5C's access to and use of a wide community of tax experts, attorneys, business consultants, and other specialists to consolidate their expertise within your financial plan. We also seek to understand many of our clients' special commitments to community through philanthropy and support of cultural and educational initiatives and institutions.



CRITICAL THINKING

The investment community is often plagued by extreme focus on fear and greed. Remaining clear-headed and objective by incorporating independent fundamental analysis enables us to provide a full spectrum of unbiased solutions. We plan for a range of potential scenarios; develop structured, flexible strategy and act timely.

We embrace our fiduciary obligation to clients and invite you to discover how 5C Capital Management, LLC is highly qualified to guide and advise you through this complex process.



HOW WE WORK

5C Capital Management, LLC is an SEC Registered Investment Advisor (“RIA”). Our principals and staff are also credentialed with certain federal and state agencies. As previously noted, our most important obligation to you is that of fiduciary. We seek to further distinguish ourselves through:

FINANCIAL RESPONSIBILITY

For the vast majority of our clients, we manage their assets on a discretionary basis. Therefore, we are entrusted to make specific investment, asset class allocation, rebalancing and transaction decisions on behalf of our clients.

UNBIASED ADVISORY SERVICES

Objectivity is critical when recommending particular investments or planning strategy. Unlike many brokers and advisers, we are not bound to any specific company, funds, investment style or asset class.

PRESENTING A WIDE RANGE OF ALTERNATIVES

Wealth is inherently a complex financial state. Our responsibility is to evaluate a range of responses for a specific issue and structure a solution that comports with your specific goals and objectives.

COST-EFFECTIVENESS

We utilize an array of quantitative and qualitative measures to vet potential investment options, seeking high quality, cost-controlled solutions across all asset classes. We are independently owned and are neither required nor incentivized to purchase any single family or sector of investments. Client portfolios are created and rebalanced based on research driven, qualitative and cost considerations of the investment – not due to any business relationship.

TRANSPARENCY

We fully disclose all costs and fees.

RESPONSIVENESS

We strive to provide timely, consistent service and accommodate your scheduling and communication requirements. Inquiry is met with swift response by an experienced professional prepared to discuss your particular issue.

STRATEGY WITHOUT RIGIDITY

We adhere to an investment and planning methodology that provides a foundation with the ability to customize as circumstances and associated complexities dictate.



YOUR NEEDS, OUR INSIGHTS

5C Capital Management staunchly maintains its independence and is not affiliated with any mutual fund family or similar investment pool; we are not required to commit client capital to any specific strategy or product. Therefore, we are free to choose from a broad array of money managers and asset classes to implement strategy, while limiting transaction expenses and management fees.

We are experienced in assisting clients in all the following matters:



INVESTMENT PLANNING



CHARITABLE GIVING



TAX PLANNING



MANAGING SPECIAL NEEDS



RETIREMENT PLANNING



ESTATE AND TRUST PLANNING



SUCCESSION PLANNING



HIGHER EDUCATION PLANNING



LIFE TRANSITIONS

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